



Docket No.: 1000-052
FIRST CLASS MAIL

UTILITY PATENT APPLICATION

FILE COPY Receipt

#2 | CFR
8.2-01
R. Stokes

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kai Yang
Serial No.: 09/663,021 : Group Art Unit: 2811
Filed: 09/15/2000 : Data Entry: Rorie, Deanna
For: SELF-ALIGNED SEMICONDUCTOR INTERCONNECT BARRIER
AND MANUFACTURING METHOD THEREFOR

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service
Washington, D.C. 20231

TRANSMITTAL and REQUEST TO CORRECT FILING RECEIPT

Sir:

With regard to the Filing Receipt dated 11/13/2000, in the Applicant(s) section there is an error with respect to the following:

INCORRECT:

Kai Young, San Jose, CA

CORRECT:

Kai Yang, San Jose, CA

Takeshi Nogami, Kanagawa, Japan

Dirk Brown, Sunnyvale, CA

Shekhar Pramanick, Fremont, CA

Please correct the error, and provide a corrected Filing Receipt. A copy of the Declaration is included. No additional fee is required. The correction is not due to any error by applicant and no fee is due. At any time during the pendency of this application, please charge any fees required for credit any overpayment to Deposit Account 01-0365 pursuant to 37 CFR 1.25.

The following documents are enclosed:

Executed Declarations and Power of Attorney.

RECEIVED
JAN 30 2001
2800 MAIL ROOM

Copy of Filing Receipt dated 11/13/00.

Return Acknowledgement Postcard (1).

Respectfully submitted,



Mikio Ishimaru
Attorney for Applicant(s)
Registration No. 27,449

The Law Offices of Mikio Ishimaru
1046 Pinenut Court
Sunnyvale, CA 94087
Telephone: (408) 738-0592
November 28, 2000

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

FACSIMILE

deposited with the United States
Postal Service with sufficient
postage as first class mail in an
envelope addressed to the
Assistant Commissioner for
Patents, Washington, D.C.
20231.

transmitted by facsimile to the
Patent and Trademark Office.

Date: November 28, 2000

Signature



Tamara A. Tucker



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILED DATE	GRP/ART UNIT	FIL FEE REC'D	ATTY/DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/663,021	09/15/2000	2811	690	D412	2	18	3

22898
THE LAW OFFICES OF MIKIO ISHIMARU
1046 PINENUT COURT
SUNNYVALE, CA 94087

RECEIVED
NOV 22 2000

FILING RECEIPT



OC000000005546317

MIKIO ISHIMARU

Date Mailed: 11/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

FIX

TO ↓

Kai Young, San Jose, CA; YANG

① ADD - Takeshi Nogami, Kanagawa, Japan,
Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/154,606 09/17/1999

Foreign Applications

② ADD - Dirk Brown, Sunnyvale, CA

③ ADD - Shekhar Pramanick, Fremont, CA

If Required, Foreign Filing License Granted 11/09/2000

Title

Self-aligned semiconductor interconnect barrier and manufacturing method therefor

Preliminary Class

257

Data entry by : RORIE, DEANNA

Team : OIPE

Date: 11/13/2000



16 800 MAIL ROOM
JAN 30 2001

RECEIVED



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, DC 20231

